

August 4, 2023

## Navajo United Way Application Packet

Dear Agency Director,

Navajo United Way (NUW) is pleased to announce the availability of grant funding under the Community Care Fund for the 2024 fiscal year. Attached you will find the Application Packet and guidelines for applying. NUW accepts applications from nonprofit organizations, recognized as tax-exempt under section 501 (C) (3) of the Internal Revenue Code, that provides direct Human, Education, and Health Care Services to the residents of the Navajo Nation within the funding category.

There will be an electronic format of the grant application available to you. Please submit your request for an electronic format to the attention of the Allocation Specialist at community@navajounitedway.org. The Grant Application is due on Friday, October 13, 2023 by 4:00 pm. The completed application may be personally delivered or postmarked on or before the due date. Please be aware Navajo United Way will NOT ACCEPT FAXED OR EMAILED GRANT APPLICATIONS.

Thank you for your interest in working with Navajo United Way. If you have any questions or concerns regarding the application packet and the fund distribution process, please call our office at (928) 871-6661.

Sincerely,

Laurel Johnson Allocation Specialist

cc: Dr. Laura Mike, Executive Director xc: file



# **APPLICATION FOR FUNDING YEAR 2024**

## APPLICATION COVER SHEET

AGENCY	NAME

AGENCY'S EMPLOYER IDENTIFICATION NUMBER (EIN)

WE ARE APPLYING FOR FUNDING CATAGORY. (Check which apply.)

- Elderly/Aged Services
- □ Family Support and Counseling
- □ Youth Education and Community Outreach
- □ Health and Rehabilitation

NAME OF BOARD PRESIDENT (print)			
EXECUTIVE DIRECTOR (print)			
NAME OF THE PERSON(S) PREPARING APPLIC	ATION (print)		
MAILING ADDRESS	City	State	Zip
AGENCY PHYSICAL ADDRESS			-
PHONE			
WEBSITE	_EMAIL		
SIGNATURE OF AN APPLYING AGENCY'S BO DIRECTOR IS REQUIRED.	DARD PRESIDEN	T/CHAIR AND EX	ECUTIVE
I have reviewed this proposal being submitted:			
Agency Board President/Chair (print and sign)	_	Date	
Executive Director/CEO (print and sign)			

For NUW office use only: Received by: \_\_\_\_\_ Date: \_\_\_\_\_

#### COMMUNITY CARE FUND GRANT 2024 Navajo United Way

#### Introduction

Navajo United Way will accept proposals from any nonprofit organizations, recognized as taxexempt under section 501(c)(3) of the Internal Revenue Code, that provides direct Human, Education, and Health Care Services on the Navajo Nation or that serves the needs of the residents of the Navajo Nation. Navajo United Way encourages organizations in historically underserved, geographic areas to apply. Projects must not duplicate existing services in any geographic area. Rather, projects should respond to unmet needs in local services, demonstrate creative thinking and innovative approaches to service delivery, use volunteers and civic engagement, and demonstrates effective management of resources.

#### **GENERAL INFORMATION**

#### Questions

Questions about this RFP can be directed to:

Dr. Laura M. Mike	email: <u>nuwdirector@gmail.com</u>	928-871-6657
Laurel Johnson	email: <u>community@navajounitedway.org</u>	928-871-6661

#### Deadline

The deadline for submission of the proposal will be **Friday**, **October 13**, **2023**, **by 4:00 pm**. **The Proposal can be personally delivered to the Navajo United Way office or postmarked on or before the due date**. **NAVAJO UNITED WAY WILL NOT ACCEPT applications by e-mail or fax.** Applications received after the deadline will not be considered.

#### **Grant Review**

All proposals are reviewed and evaluated by a diverse panel of community volunteers who then make funding recommendations to the Navajo United Way Board of Directors. Volunteers will evaluate the written proposal on key areas of assessment criteria. The actual amount of awarded grants will depend on the amount Navajo United Way is able to raise during the current annual campaign. Submission of a grant proposal does not guarantee a grant award.

#### **Reporting and Evaluation**

All successful applicants will be required to submit quarterly reports and a final report to evaluate their projects against objectives and key performance indicators during and at the conclusion of the funding period. The report template will be emailed to the grantee contact person and dates of when the reports are due.

Since Navajo United Way is a philanthropic organization, we will be contacting all grantees to participate in campaign events scheduled at the discretion of the funders. Grant recipients will be asked to provide material that can be used during the annual campaign events. These items may include Thank You Letters, Posters, Pictures, etc. Please contact our office for questions or concerns.

#### **Funding Categories**

Each applicant will be considered on its merits along with all other applications. The amount of funding being sought may exceed available funds. However, all projects cannot be guaranteed full funding, regardless of their merits.

The funding categories are:

- ✓ Elderly/Aged Services
- ✓ Family Support and Counseling
- ✓ Youth Education and Community Outreach
- ✓ Health and Rehabilitation

## **PROPOSAL INSTRUCTIONS**

#### Formatting

Applications will be reviewed initially by Navajo United Way staff for compliance with technical requirements. Partners may be required to provide additional information if deemed necessary. Proposal format requirements:

- > Typed, single space on  $8.5 \times 11$ " white paper.
- > Times New Roman or Arial 12-point Font.
- > 1-inch margins
- > Original printed on one side only
- > All pages must be numbered.
- Tabbed sections

## Assembly

Assemble the proposal as follows:

- > Complete the application cover sheet: *this must be on the front of your proposal*
- > Table of Contents
- Section I Eligibility
- Section II Agency Information
- Section III Project Information
- > Section IV Budget
- Section V Counterterrorism Compliance Certification
- Section VI Most Recent Audit
- Section VII Most Recent Balance Sheet
- > Section VIII IRS 501 (c)(3) Determination Letter
- Proposal must be bound with a binder clip, NOT to be bound in spiral binders, stapled, or in 3-ring notebooks.
- > Do Not add extra documents, promotional items, brochures, or other material and content that is not requested in the proposal.
- > Answer each question.

## Submission

- > Submit one (1) original proposal.
- > Submissions must contain the organization's name CLEARLY marked on the outside of the envelope.
- > Deliver or Mail Proposals (USPS/UPS/FedEx) to:

By Mail:	Navajo United Way P.O. Box 309 Window Rock, AZ 86515-0309	Thru USPS
Physical	,	
Address:	Navajo United Way	Thru UPS/FedEx
	40 Window Rock Loop Road	
	Window Rock, AZ 86515-0309	
In Person:	Navajo United Way Office located east	of the US Post Office
	Window Rock, AZ	

- > Submit by Friday, October 13, 2023 by 4:00pm
- > LATE PROPOSAL APPLICATIONS WILL NOT BE ACCEPTED.

## PROPOSAL

## Section I ~ Eligibility

Potential applicants must meet the following eligibility and contract requirements. Please check the appropriate one.

Yes _	No	Qualifies under the IRS code as a non-profit 501(c)(3) organization.
Yes _	No	Has been in operation for no less than a year.
Yes_	No	Has an annual independent audit completed within 18 months by an Independent Certified Public Accountant.
Yes _	No	Funding request <b>DOES NOT</b> include personnel/salaries and fringe benefits.
Yes _	No	Will submit quarterly/final reports and financials by required dates, including annual financial audit report/review and Form 990.
Yes_	No	Agrees to attend at least <b>two</b> campaigns with Navajo United Way during campaign season, usually from September through December each year.
Yes _	No	Agrees to include the Navajo United Way logo and follow United Way brand guidelines on all appropriate materials related to the programs and activities funded by the grant.
Yes _	No	Will submit a signed contract with Navajo United Way, if grant awarded.

If the agency answered "No" to any of the questions above, please explain:

#### **Section II ~ Organization Information**

- A. State the Mission and/or Vision of the organization.
- B. Provide a brief description of your Organization's History.
- C. Provide a brief description of the services your organization provides.
- D. Please describe your geographical service area.
- E. Provide demographics of your organization's primary beneficiaries/clients. (i.e., age, race, income level, gender, etc.)
- F. What are the start and end dates of your fiscal year?
- G. List Each Board Member. If more space is needed, use separate sheet.

Full Name	Title (Board Chair, Vice- Chair, ect.)	Years on Board

H. Employee Information

Number	Number of	Number of	Number of	Vacant Position(s)
of	Full Time	Part Time	Volunteers	(please list)
Employees	Employees	Employees		

#### **Section III ~ Project Information**

- A. What is the Project Name?
- B. Amount requested for this project.
- C. Who will manage the project?
- D. What is the timeline for implementation and completion of the project?
- E. How many clients/participants will receive services through the project?
- F. How long do you feel the benefits of this project will last in your community?

- G. Provide an abstract of the project, please include the following:
  - a. Describe program activities or the process of how your services work.
  - b. Describe your outreach plans to your potential clients/participants.
  - c. Describe the in-kind support your organization will provide to the project.
  - d. List at least 2 project objectives. What do you want to accomplish with this project?
    - i. 1<sup>st</sup>
    - ii. 2<sup>nd</sup>
    - iii. 3<sup>rd</sup> (Optional)
  - e. How will these objectives be measured? How will you know you accomplished your objectives?)
    - **i**. 1<sup>st</sup>
    - ii. 2<sup>nd</sup>
    - iii. 3<sup>rd</sup> (Optional)
- H. If the project is funded, how do you plan to recognize Navajo United Way? Give examples of how your organization has previously recognized Navajo United Way or other funding sources.

## Section IV ~ Budget

- A. Provide your proposed budget for this application showing your projected expenses. The budget total should reflect the answer and amount in Section III-question B on page 7.
- B. This budget should only include expenses related to your project. Do not submit your Organization's Operational Budget.
- C. If the project is not fully funded, describe your organization's contingency plan.
- D. List any other secured or potential sources of funding to be involved in your project?
- E. The budget should display "Budget Categories," "Line Items," and "Requested Funds." An agency may produce a Budget using word processing or spreadsheet software. Depending on your specific program or project, you may need to include more Budget Categories. The following is only an example.

## **EXAMPLE:**

Budget Category	Line Item	Requested Funds
Expense X	"item name"	\$ 4,000.00
Material Y		\$ 600.00
Supply Z		\$ 1,500.00
"list more categories as		
needed"		
Total		\$ 6,100.00



## COUNTERTERRORISM COMPLIANCE CERTIFICATION

In compliance with United Way of Worldwide's Membership Certification requirements and the intent of the USA PATRIOT ACT and other counterterrorism laws, NAVAJO UNITED WAY requests that each of its potential and/or funded agencies ("Organization") certify that it is in compliance with the above-mentioned laws and the United Way of Worldwide's ("UWW") compliance program.

## ORGANIZATION NAME:

Check the Appropriate Box to Indicate Your Compliance with each of the following:	COMPLY	DO NOT COMPLY
This organization is not on any federal terrorism "watch lists" including the list in		
Executive Order 13224, the master list of specially designated nationals and		
blocked persons maintained by the Treasury Department, and the list of Foreign		
Terrorist Organizations maintained by the State Department.		
This organization does not, will not, and has not knowingly provided financial,		
technical, in-kind, or other material support of resources* to any individual or		
entity that is a terrorist or terrorist organization, or that supports or funds terrorism.		
This organization does not, will not, and has not knowingly provided or collected		
funds or provided material support or resources with the intention that such funds		
or material support or resources be used to carry our acts of terrorism.		
This organization does not, will not, and has not knowingly provided financial or		
material support or resources to any entity that has knowingly concealed the		
source of funds used to carry out terrorism or to support Foreign Terrorist		
Organization.		
This organization does not re-grant to organizations, individuals, programs,		
and/or projects outside of the United States of America without compliance with		
IRS guidelines.		
This organization takes reasonable, affirmative steps to ensure that any funds or		
resources distributed or processed do not fund terrorism or terrorist organizations.		
This organization takes reasonable steps to certify against fraud with respect to		
the provision of financial, technical, in-kind, or other material support or		
resources to terrorists and terrorist organizations.		

\*In this form, "material support and resources" means currency or monetary instruments or financial securities, financial services, lodging, training, expert advice or assistance, safe houses, false documentation or identification, communications equipment, facilities, weapons, lethal substances, explosives, personnel, transportation, and other physical assets, except medicine or religious materials.

#### I certify on behalf of the Organization listed above that the foregoing is true.

Print Name:	Title:
Signature:	Date: